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# Using Neutrinos to Study the Structure of the Nucleon

GHP09 - Denver, CO  
30 April 2009

Jorge G. Morfin  
Fermilab



## Neutrino Experiments have been studying Nucleon Structure for over 30 years

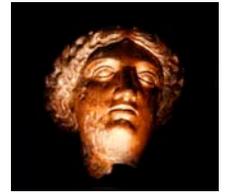


- ◆ For example, Gargamelle made one of the first measurements of  $\Lambda_{ST}$  in the early 1970's using sum rules and the  $x$ - $Q^2$  behavior of the structure functions  $F_2$  and  $xF_3$  measured off heavy liquid while BEBC followed with QCD studies using  $\nu + p$  and  $\nu + D$  scattering.
- ◆ Interacting with the weak current means a **much smaller interaction rate** than  $e/\mu$  scattering however **can select which set of quarks involved in the interaction via  $\nu$  or  $\bar{\nu}$**
- ◆ There followed a long string of  $\nu$  scattering experiments with **increasing statistics** and **decreasing systematic errors** culminating with the ....



# Latest $\nu$ DIS Scattering Results - NuTeV

## High energy neutrino scattering experiment at Fermilab



NuTeV accumulated over 3 million neutrino/antineutrino events with  $20 \leq E_\nu \leq 400$  GeV.

NuTeV considered 23 systematic uncertainties in the analysis of **nucleon structure functions**.

NuTeV  $\sigma$  agrees with an earlier experiment using essentially the same detector for  $x_{Bj} < 0.4$ . However, **NuTeV is systematically higher at high x: 4% at  $x = 0.45$ , 10% at  $x = 0.55$ , 20% at  $x = 0.65$ .**

NuTeV  $F_2$  and  $xF_3$  agrees with **theory** for medium x.

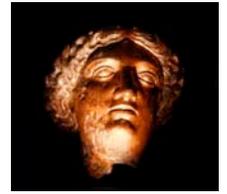
**At low x: different  $Q^2$  dependence.**

**At high x ( $> 0.6$ ): NuTeV is systematically higher.**

NuTeV agrees with **charged lepton** data for  $x < 0.5$ .

**Perhaps smaller nuclear correction at high-x for neutrino scattering.**

***Neutrino experiments need heavy nuclear targets to accumulate sufficient statistics. Must correct nucleus to nucleon.***



# Current open questions to be addressed via neutrino scattering

- ◆ High- $x$ : What is happening with the **valence quarks as  $x \rightarrow 1.0$** .  
NuTeV gives **opposite indication** compared to E866 (D-Y experiment)
- ◆ Low  $W$ : What is happening in the **transition region** between  $1-\pi$  resonance production and DIS.
- ◆ All  $x$  and  $Q^2$ : What will we learn if we can measure **all six  $\nu / \bar{\nu}$  structure functions** to yield maximal information on PDFs.

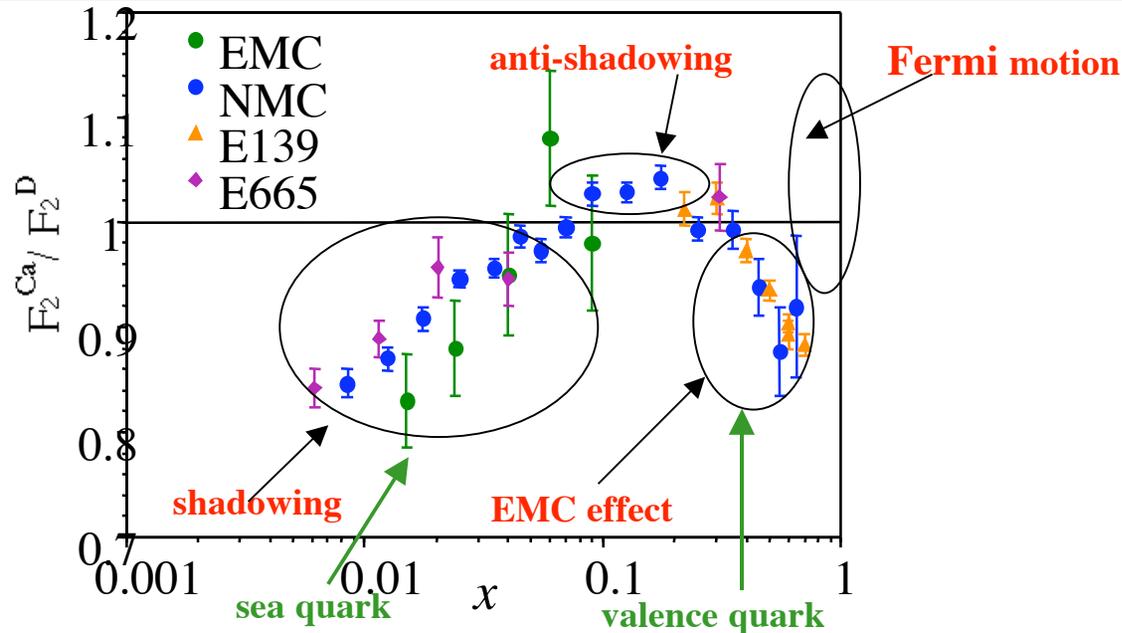
**Before answering these questions!**

- ◆ All  $x$  and  $Q^2$ : **Are nuclear effects with neutrinos the same as those (often) measured with charged leptons?**



# Systematic Experimental Studies of Nuclear Effects with Neutrinos:

## NON-EXISTENT



- ◆  $F_2$  / nucleon changes as a function of  $A$ . Measured in  $\mu/e - A$ , not in  $\nu - A$
- ◆ Good reason to consider nuclear effects are **DIFFERENT** in  $\nu - A$ .
  - ▼ Presence of **axial-vector current**.
  - ▼ Different nuclear effects for valance and sea --> **different shadowing for  $xF_3$  compared to  $F_2$** .
- ◆ Recently **Sergei Kulagin** and **Roberto Petti** predicted  **$\nu + A$  effects**



# Kulagin-Petti Model of Nuclear Effects

Systematic approach to predict nuclear effects for  $\nu+A$

hep-ph/0412425 Phys.Rev.D76:094023,2007



- ◆ Global Approach - aiming to obtain quantitative calculations covering the complete range of  $x$  and  $Q^2$  with thorough physics basis for fit to data.
- ◆ Different effects on structure functions (SF) are taken into account:

$$F_i^A = F_i^{p/A} + F_i^{n/A} + F_i^{\pi/A} + \delta F_i^{\text{coh}}$$

- $F_i^{p(n)/A}$  bound proton(neutron) SF with *Fermi Motion, Binding (FMB) and Off-Shell effect (OS)*
  - $F_i^{\pi/A}$  *nuclear Pion excess correction (PI)*
  - $\delta F_i^{\text{coh}}$  *contribution from coherent nuclear interactions: Nuclear Shadowing (NS)*
- ◆ **Fermi Motion** and **Binding** in nuclear structure functions is calculated from the convolution of nuclear spectral function and (bound) nucleon SFs:
  - ◆ Since bound nucleons are off-mass shell there appears dependence on the nucleon virtuality  $\kappa^2 = (M + \varepsilon)^2 - k^2$  where we have introduced an **off-shell structure function  $\delta f_2(x)$**

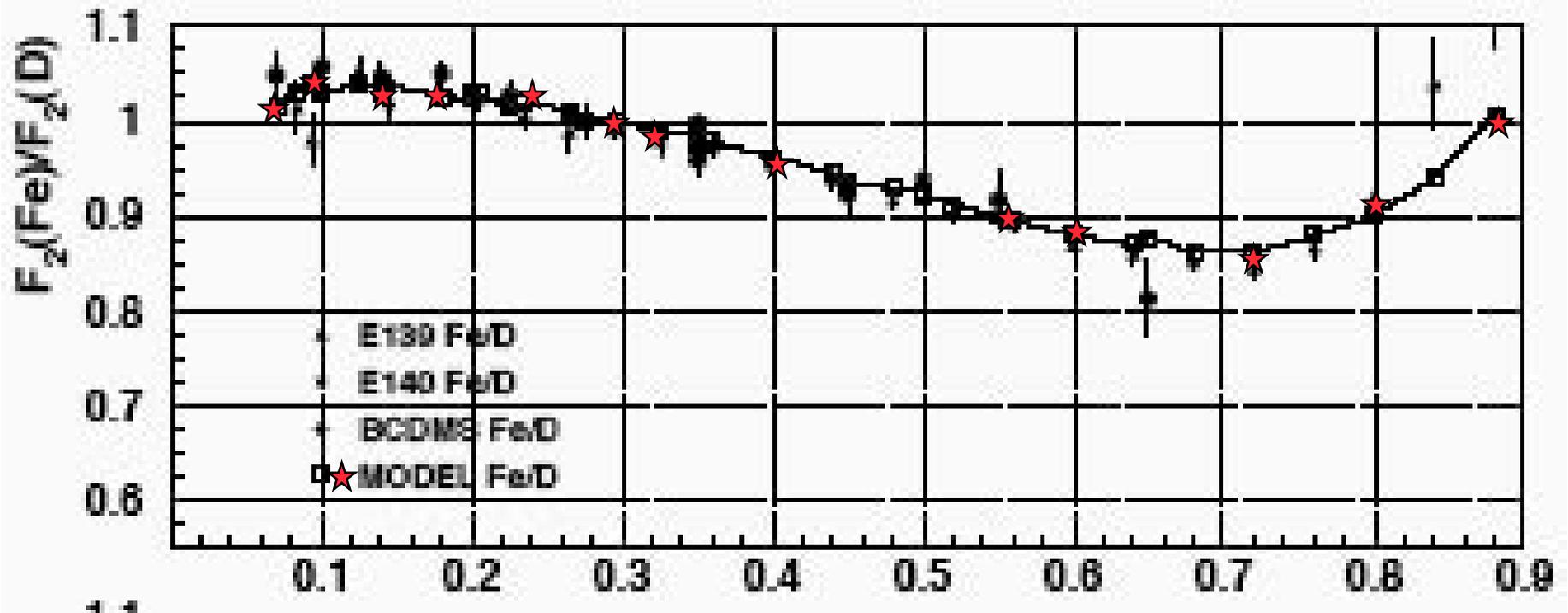
$$F_2(x, Q^2, k^2) = F_2(x, Q^2) \left( 1 + \delta f_2(x) (k^2 - M^2) / M^2 \right)$$

- ◆ Leptons can scatter off mesons which mediate interactions among bound nucleons yielding a **nuclear pion correction**



# Kulagin-Petti compared to $e/\mu$ +Fe data

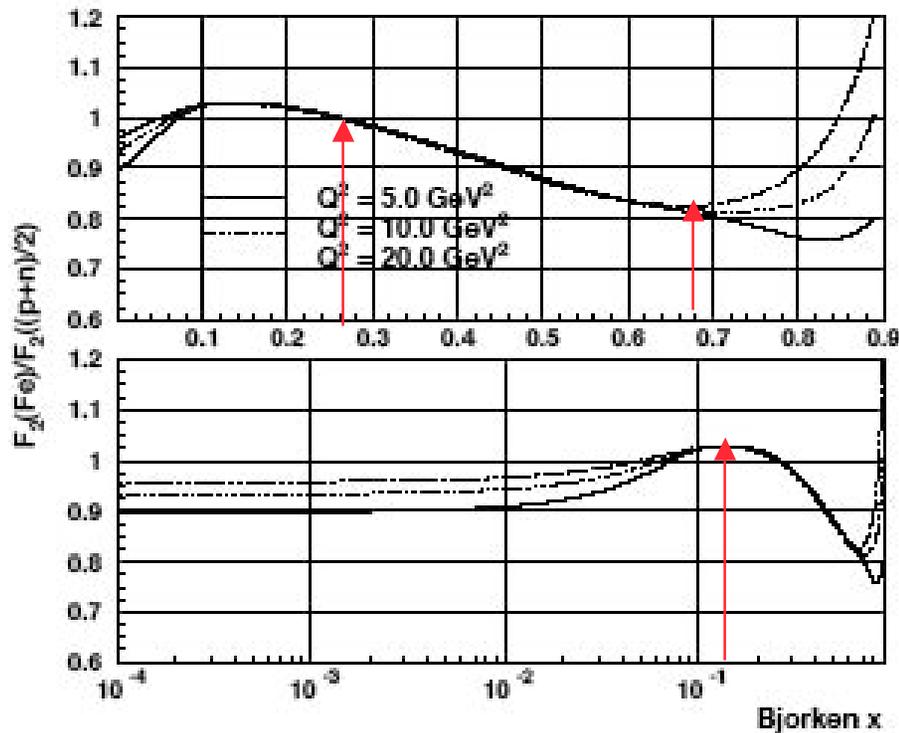
$$F_2(e/\mu+\text{Fe}) / F_2(e/\mu+\text{D})$$



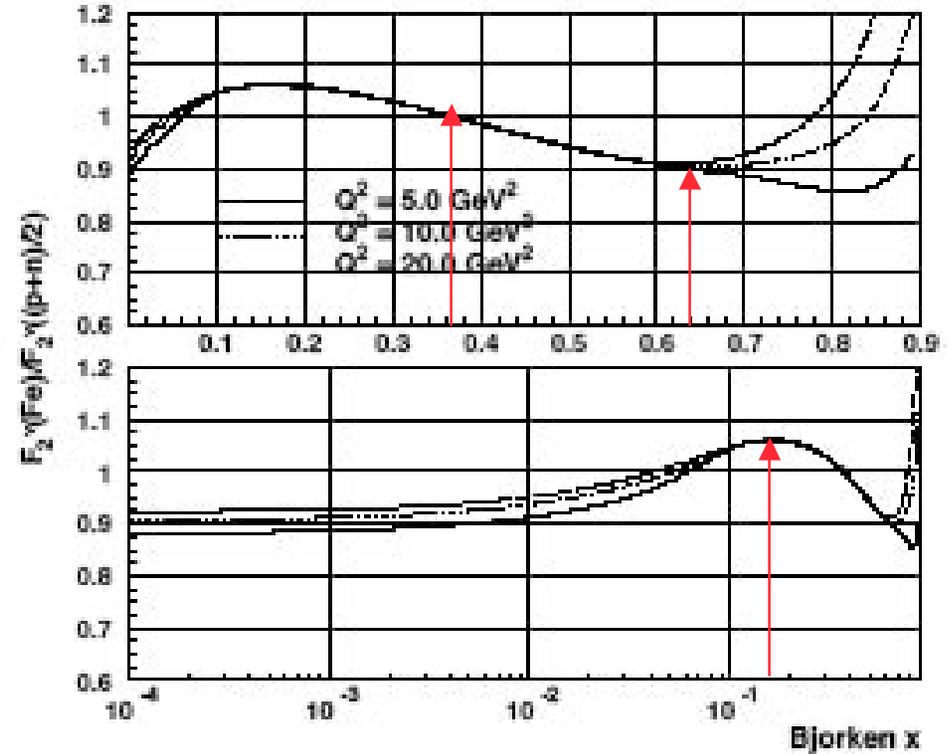
Charged Lepton



# $F_2(\mu+\text{Fe}) / F_2(\mu+\text{N})$ compared to $F_2(\nu+\text{Fe}) / F_2(\nu+\text{N})$



Charged Lepton

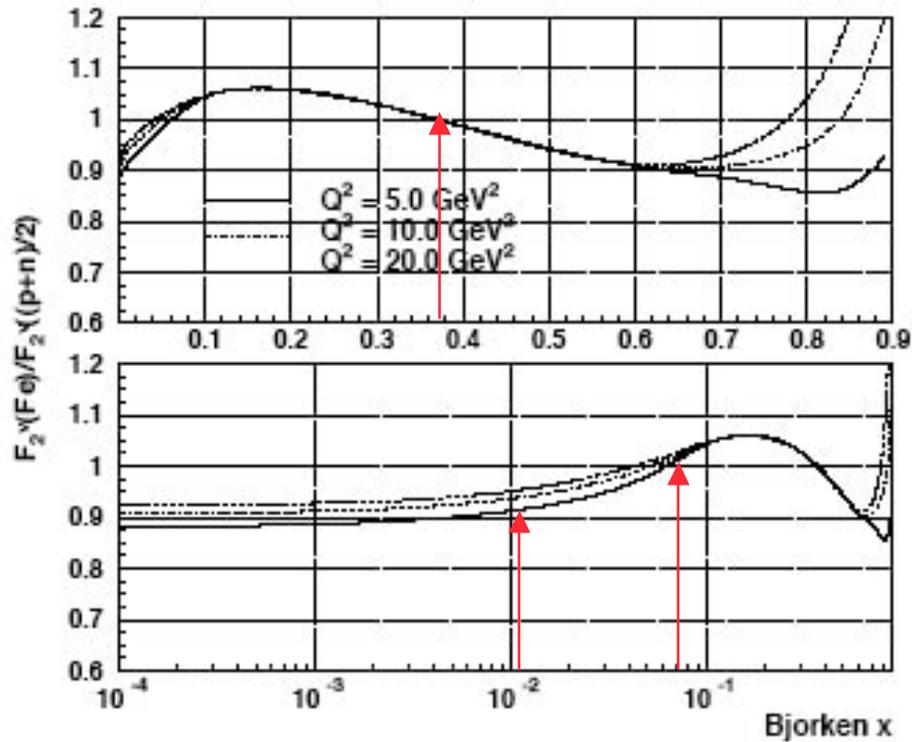
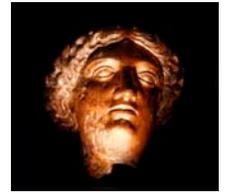


Neutrino

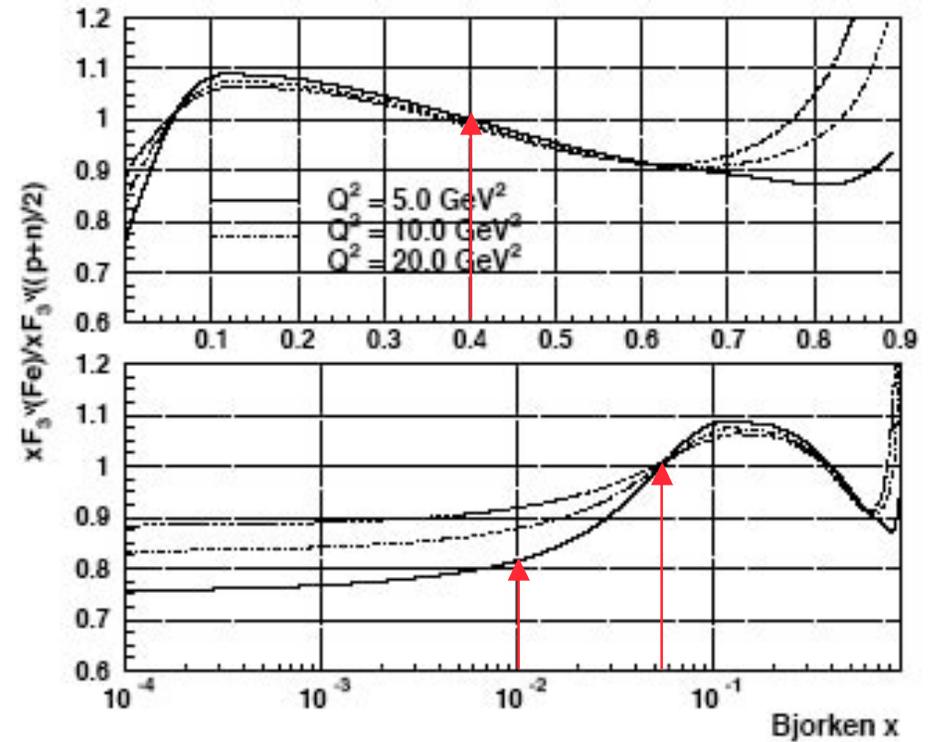


# Kulagin-Petti: $\nu$ -Fe Nuclear Effects

We now can investigate high-x NuTeV data!



$F_2$



$xF_3$



# Investigating the Behavior of Quarks at High-x



## The Impact of New Neutrino DIS and Drell-Yan Data on Large-x Parton Distributions

Joey Huston - MSU, Cynthia Keppel - Hampton, Steve Kuhlmann - ANL,  
JGM - Fermilab, Fred Olness - SMU, Jeff Owens - Florida State,  
Jon Pumplin and Dan Stump - MSU

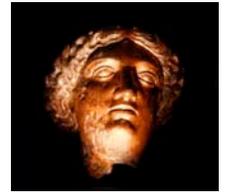
Published in **Phys.Rev.D75:054030,2007.**  
e-Print: **hep-ph/0702159**

**Converting NuTeV Fe data to nucleon equivalent using charged  
lepton correction factor and Kulagin-Petti correction factor**



# CTEQ High-x Study

Ratio: NuTeV  $\nu$ +Fe (and CHORUS  $\nu$ +Pb) data to  $\nu$ +nucleon

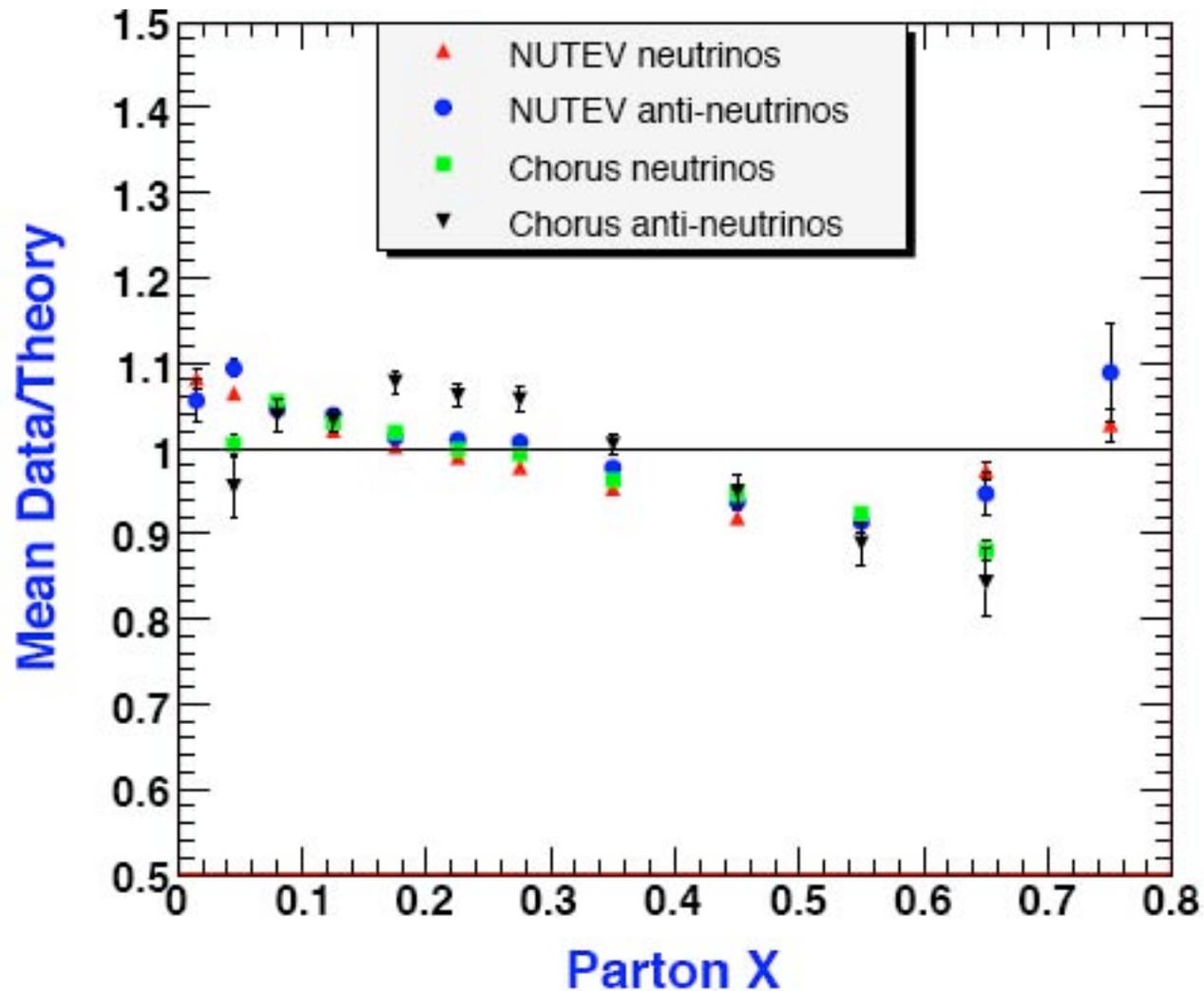
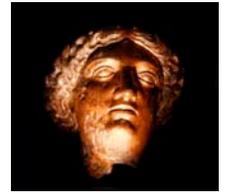


- ◆ Form reference “nucleon” from PDFs determined from nucleon (as opposed to nuclear) scattering results:
  - ▼ BCDMS results for  $F_2^p$  and  $F_2^d$
  - ▼ NMC results for  $F_2^p$  and  $F_2^d/F_2^p$
  - ▼ H1 and ZEUS results for  $F_2^p$
  - ▼ CDF and DØ result for inclusive jet production
  - ▼ CDF results for the W lepton asymmetry
  - ▼ E-866 results for the ratio of lepton pair cross sections for pd and pp interactions
  - ▼ E-605 results for dimuon production in pN interactions.
  
- ◆ Correct for deuteron nuclear effects



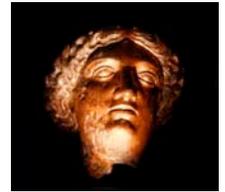
# NuTeV(Fe) and CHORUS (Pb) $\nu$ scattering ( $\sigma$ ) results compared to reference fit

**no nuclear corrections**

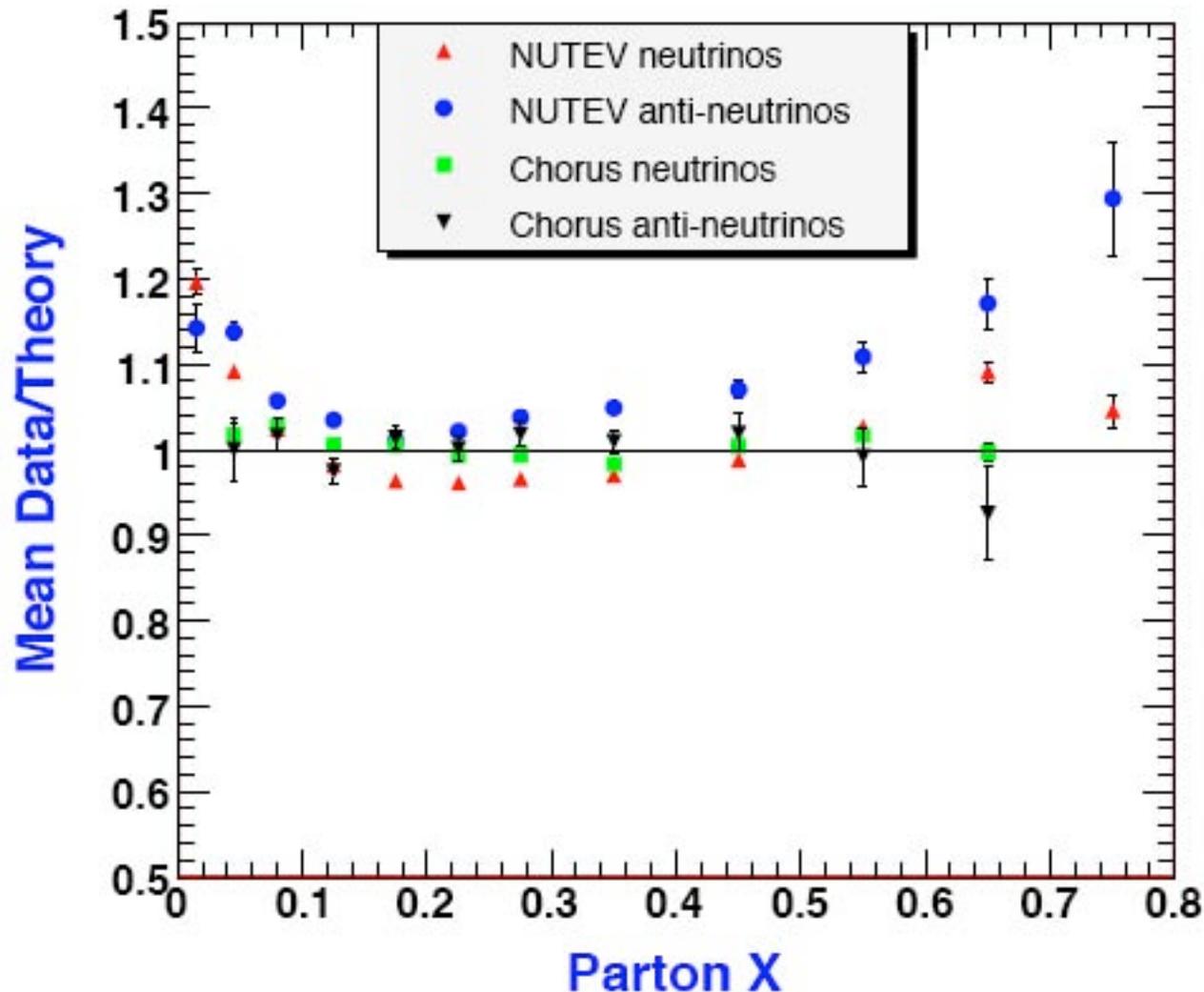


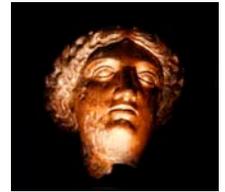


# NuTeV $\sigma(\text{Fe})$ & CHORUS $\sigma(\text{Pb})$ $\nu$ scattering results compared to reference fit



If Kulagin-Petti nuclear effects correct - points should be at “1.0”





If neither charged-lepton nor Kulagin-Petti nuclear corrections compatible with NuTeV measurements..??

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## Nuclear PDFs from Neutrino Deep-inelastic Scattering

**I. Schienbein (SMU & LPSC-Grenoble), J-Y. Yu (SMU)  
C. Keppel (Hampton & JeffersonLab) J.G.M. (Fermilab),  
F. Olness (SMU), J.F. Owens (Florida State U)**

**e-Print: [arXiv:0710.4897](https://arxiv.org/abs/0710.4897) [hep-ph]  
Phys.Rev.D77:054013,2008**



# Sample NuTeV Data vs Theory

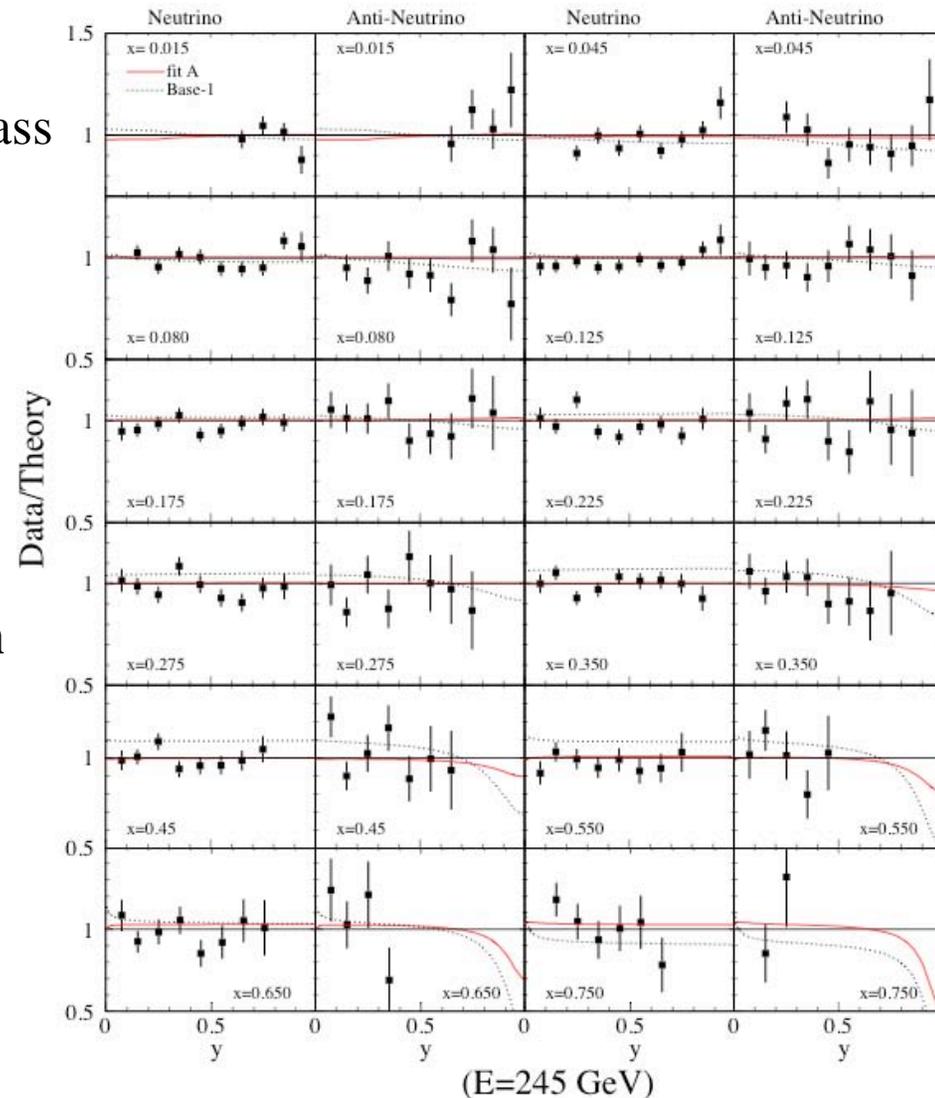


Use ACOT - heavy quark mass  
Effects - in NLO QCD.

$Q > 2.0 \text{ GeV}$ ,  $W > 3.5 \text{ GeV}$

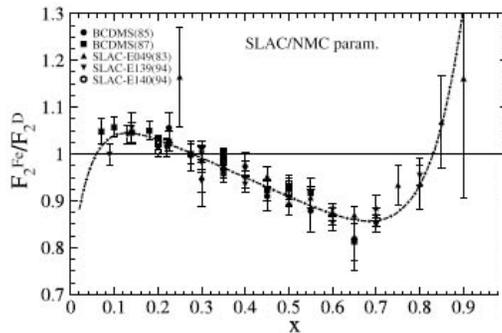
**Use same reference fit as in  
first publication**

Double-differential cross  
sections

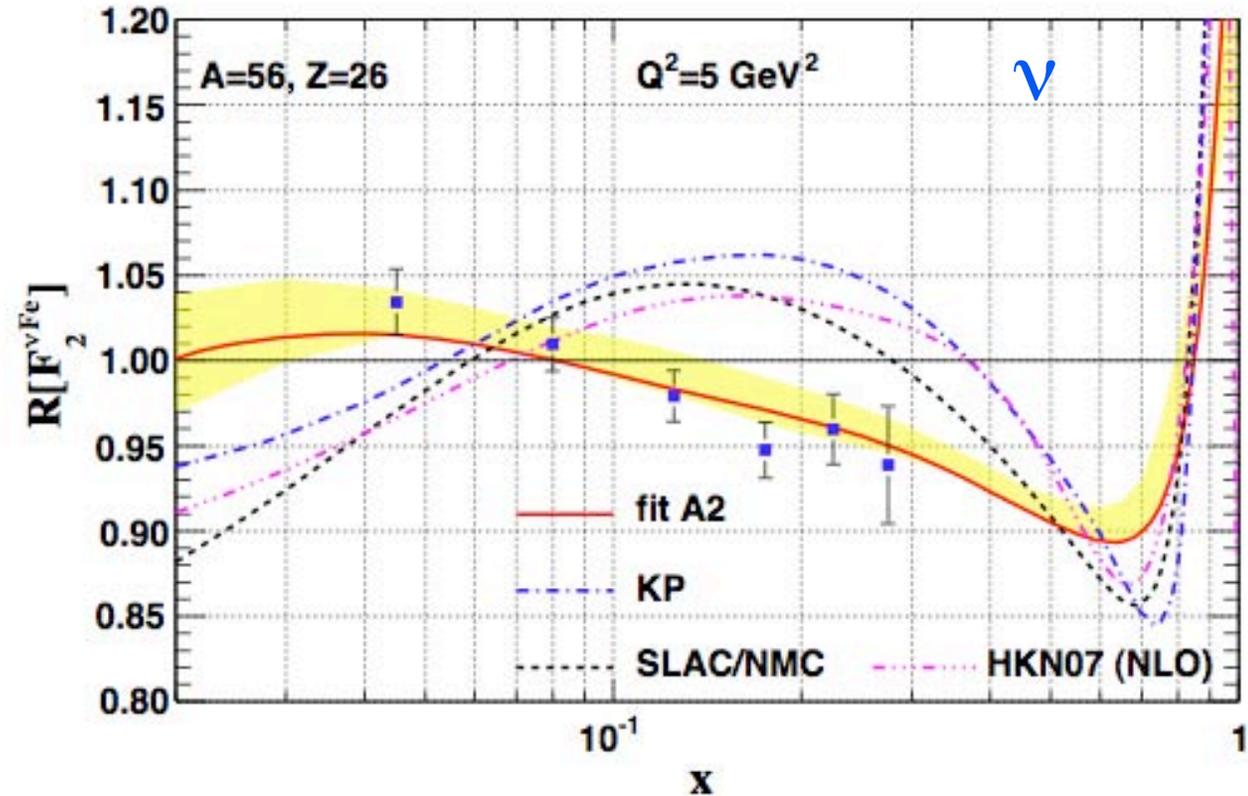




# $F_2$ Structure Function Ratios: $\nu$ -Iron

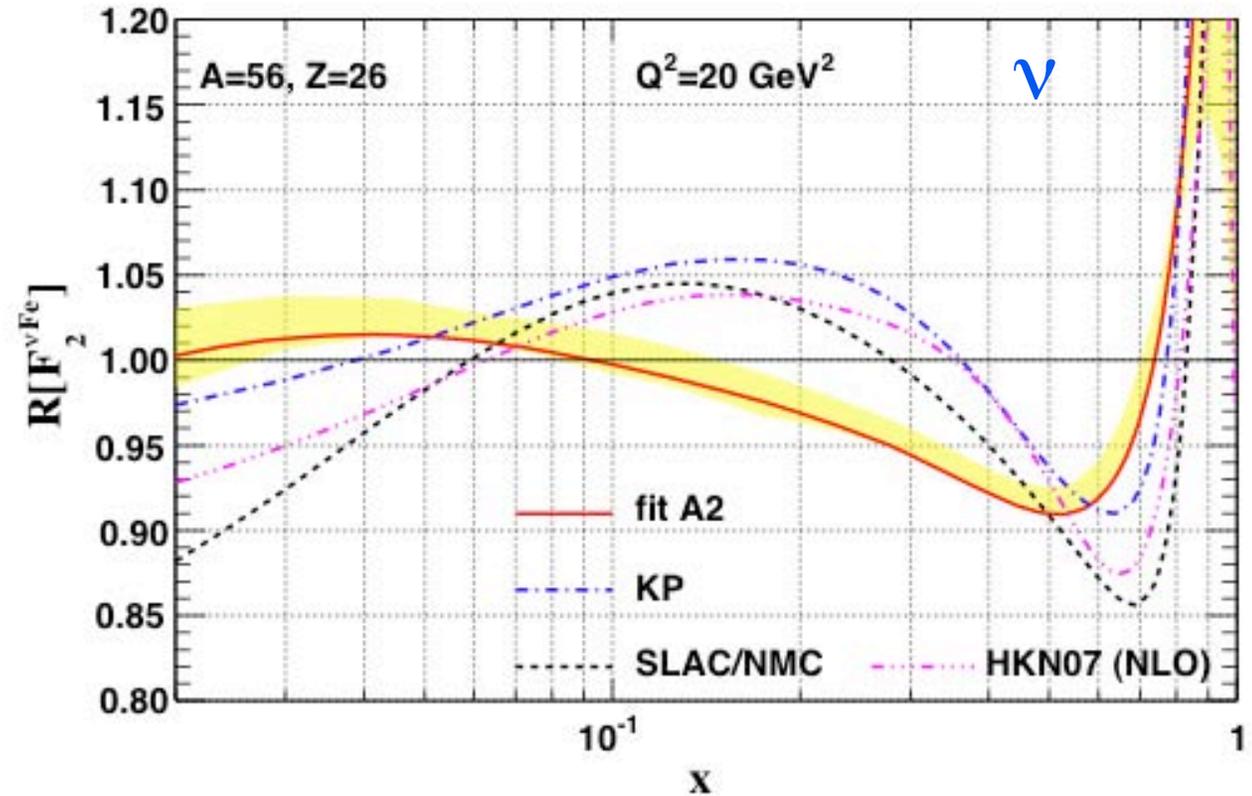
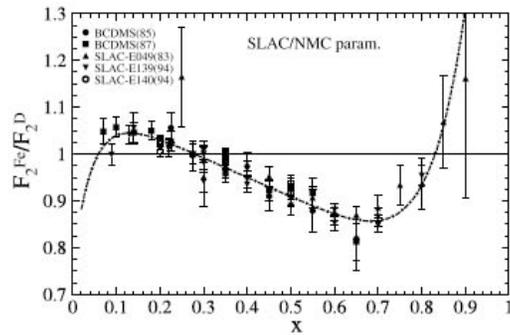
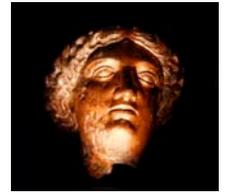


- Use ACOT - heavy quark mass Effects - in NLO QCD.
- $Q > 2.0$  GeV,  $W > 3.5$  GeV
- Use same reference fit as in first publication



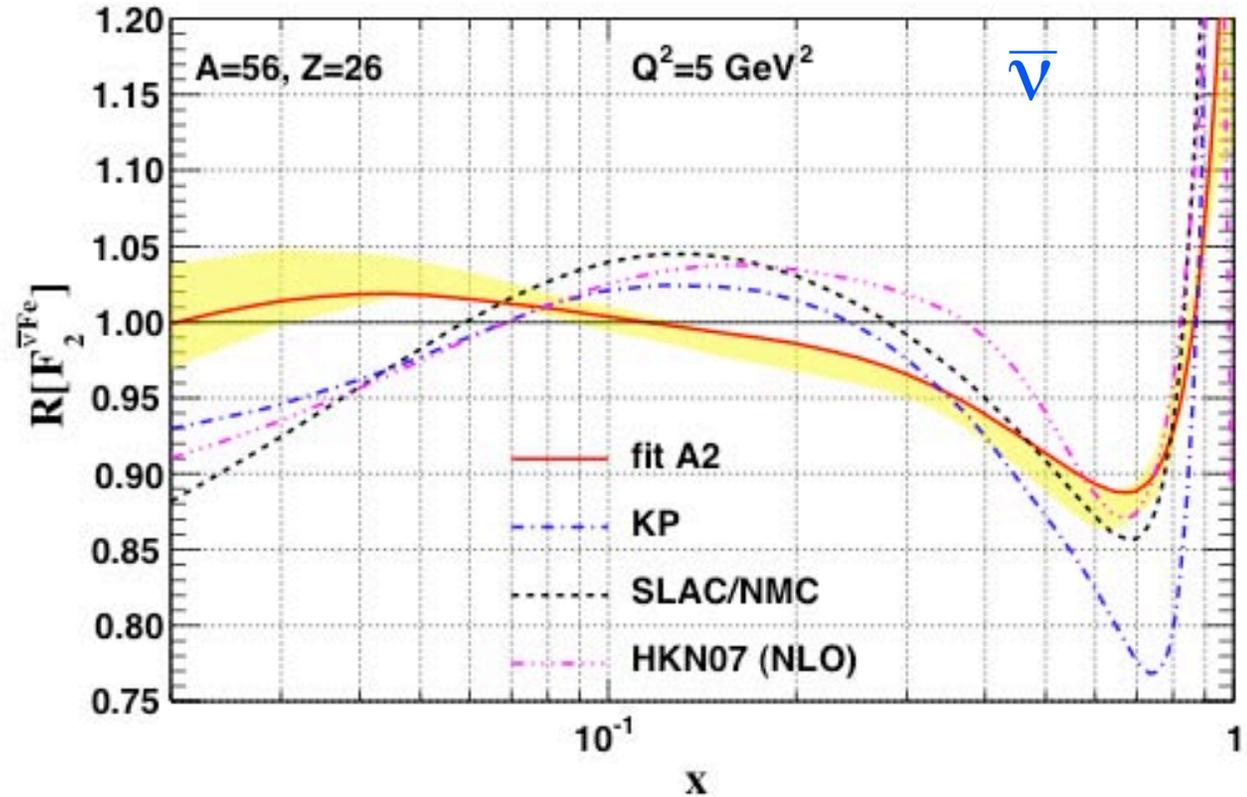
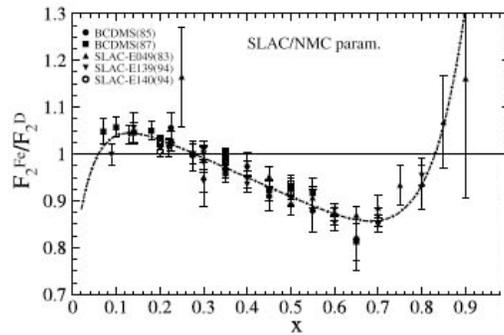


# $F_2$ Structure Function Ratios: $\nu$ -Iron



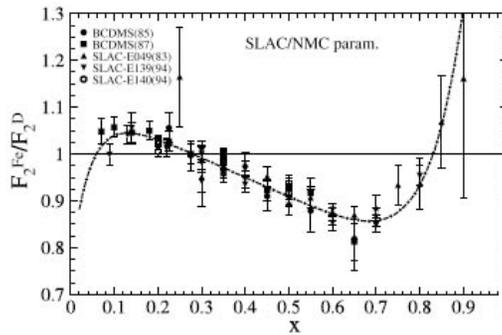


# $F_2$ Structure Function Ratios: $\bar{\nu}$ -Iron



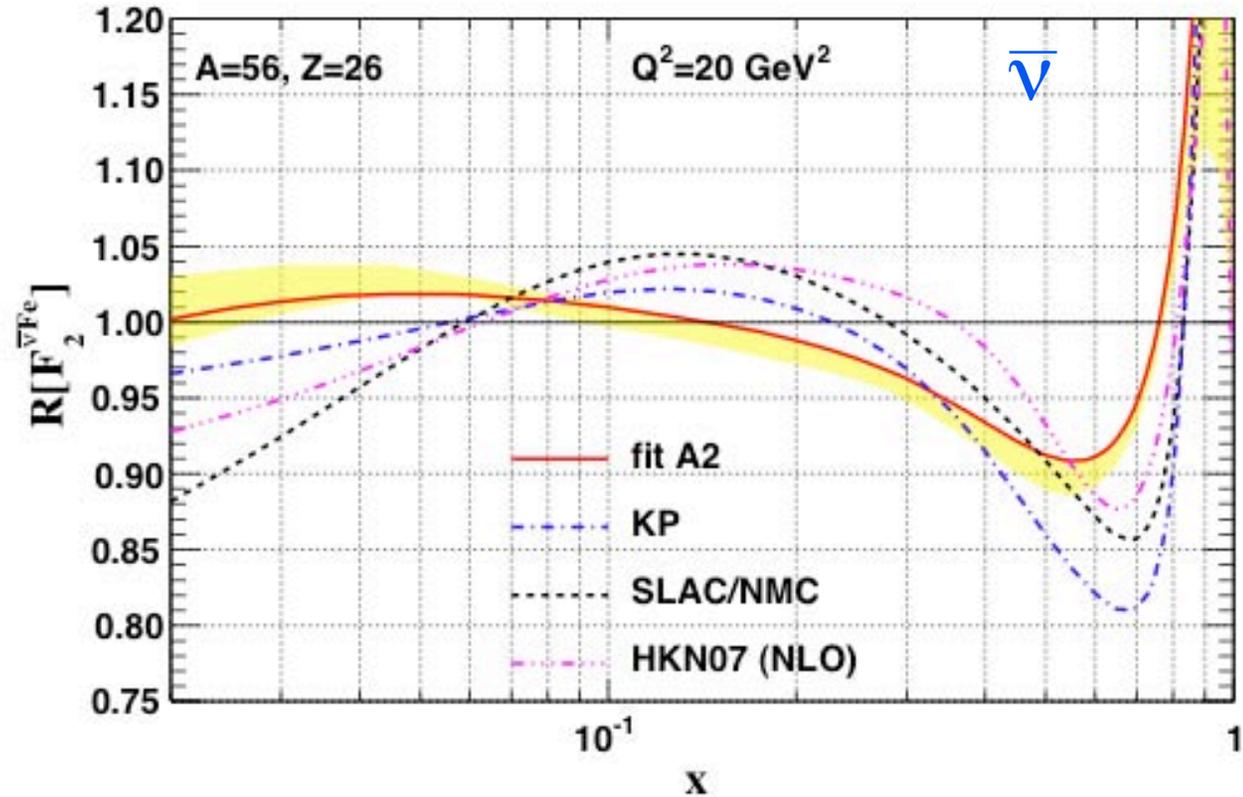


# $F_2$ Structure Function Ratios: $\bar{\nu}$ -Iron



Be careful!

One analysis of **one** experiment on **one** nucleus



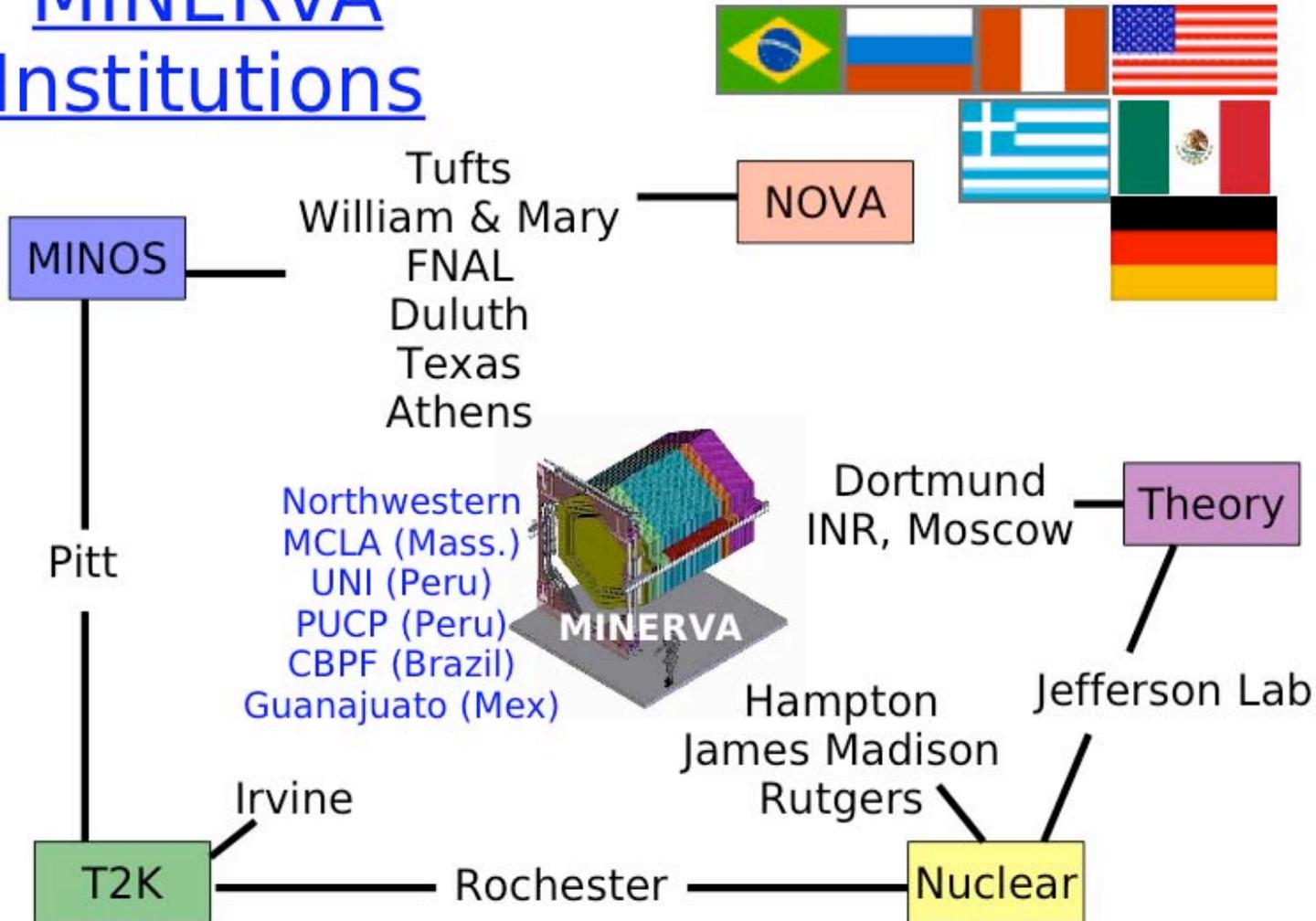


# Need a High-statistics, Precise Study of $\nu$ Scattering off several nuclei

## The MINERvA Experiment



### MINERvA Institutions

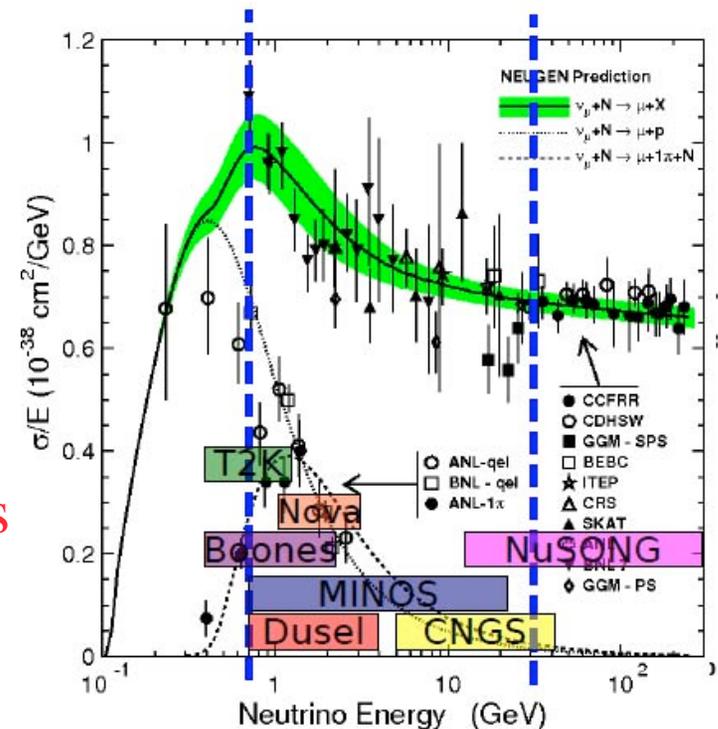


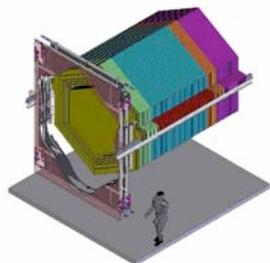
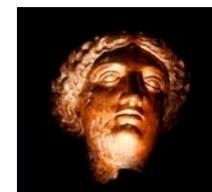


# MINERvA $\nu$ Scattering Physics Program

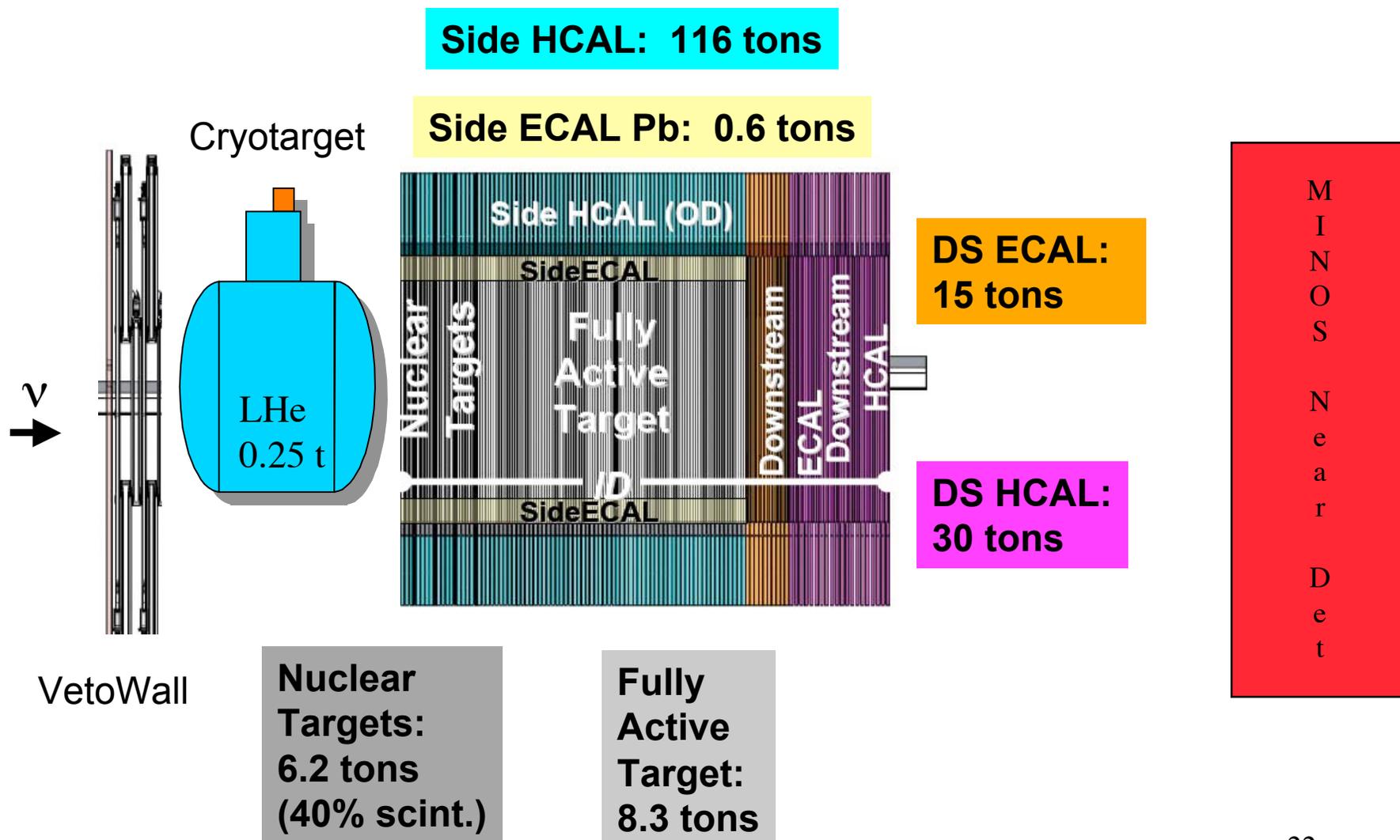


- ◆ Quasi-elastic
- ◆ Resonance Production -  $1\pi$
- ◆ Resonance Production -  $n\pi$  / Transition region - resonance to DIS
- ◆ Deep-Inelastic Scattering
- ◆ Coherent Pion Production
- ◆ Strange and Charm Particle Production
- ◆  $\sigma_L$ , Structure Functions and PDFs
  - ▼ High-x parton distribution functions
- ◆ Nuclear Effects - including nuclear PDFs
- ◆ Generalized Parton Distributions



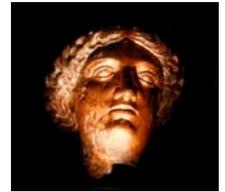


# Complete MINERvA Experimental Set-up

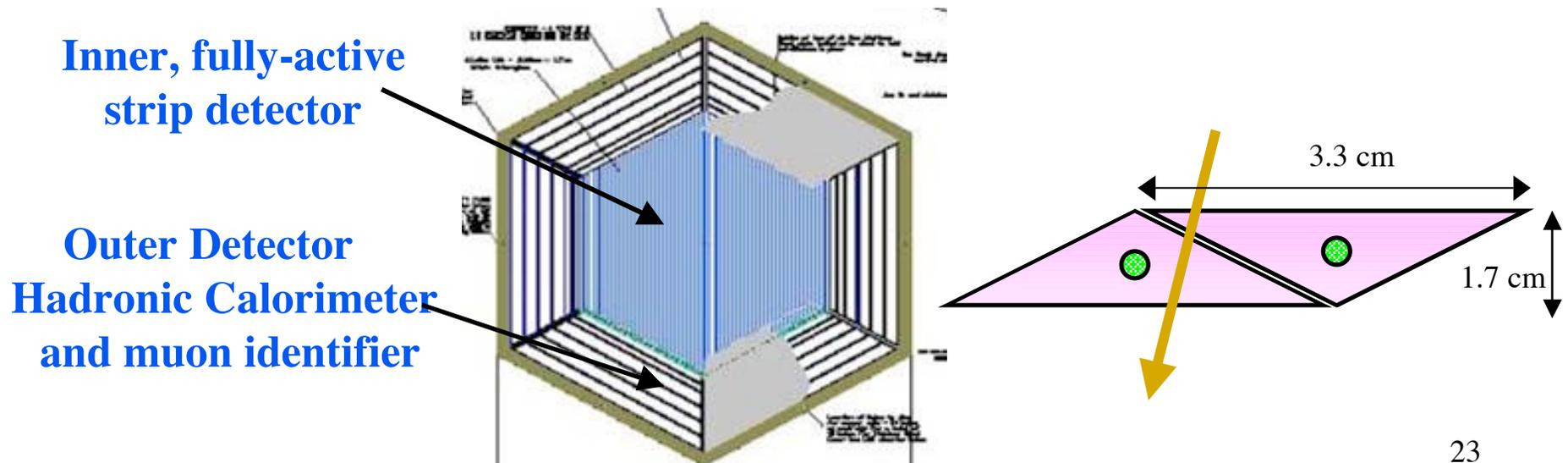




# Active Target Module

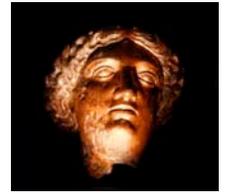


- ◆ Planes of strips are hexagonal
  - ▼ Inner detector: active scintillator strip tracker (triangular extrusions using charge sharing) rotated by  $60^\circ$  to get stereo U and V views
  - ▼ Pb “washers” around outer 15 cm of active target for side ECAL
  - ▼ Outer detector: frame, side HCAL
  - ▼ XUXV planes  $\rightarrow$  module

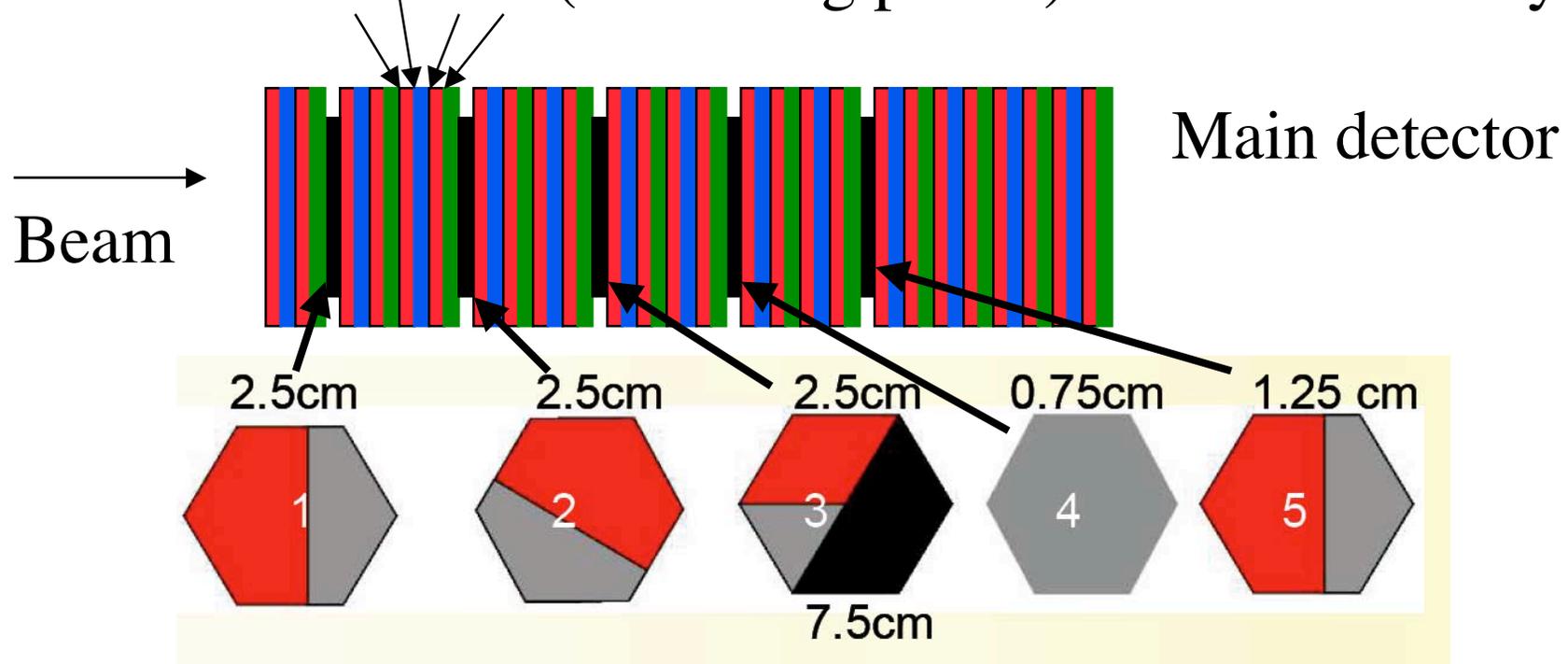




## Solid Nuclear Target Region



XUXVXUXV (4 tracking points) between each layer



**Carbon, Iron, Lead – mixed elements in layers to give similar systematics**



## 14.5 Million total CC events in the current run-plan 7 Million NC events



Run-plan assumes  $4.0 \times 10^{20}$  in LE and  $12.0 \times 10^{20}$  ME NuMI beam configurations

Fiducial Volume = 3 tons CH, 0.2t He, 0.15t C, 0.7t Fe and 0.85t Pb

Expected CC event samples:

**9.0 M  $\nu$  events in 3 tons of CH**

0.6 M  $\nu$  events in He

0.4 M  $\nu$  events in C

2.0 M  $\nu$  events in Fe

2.5 M  $\nu$  events in Pb

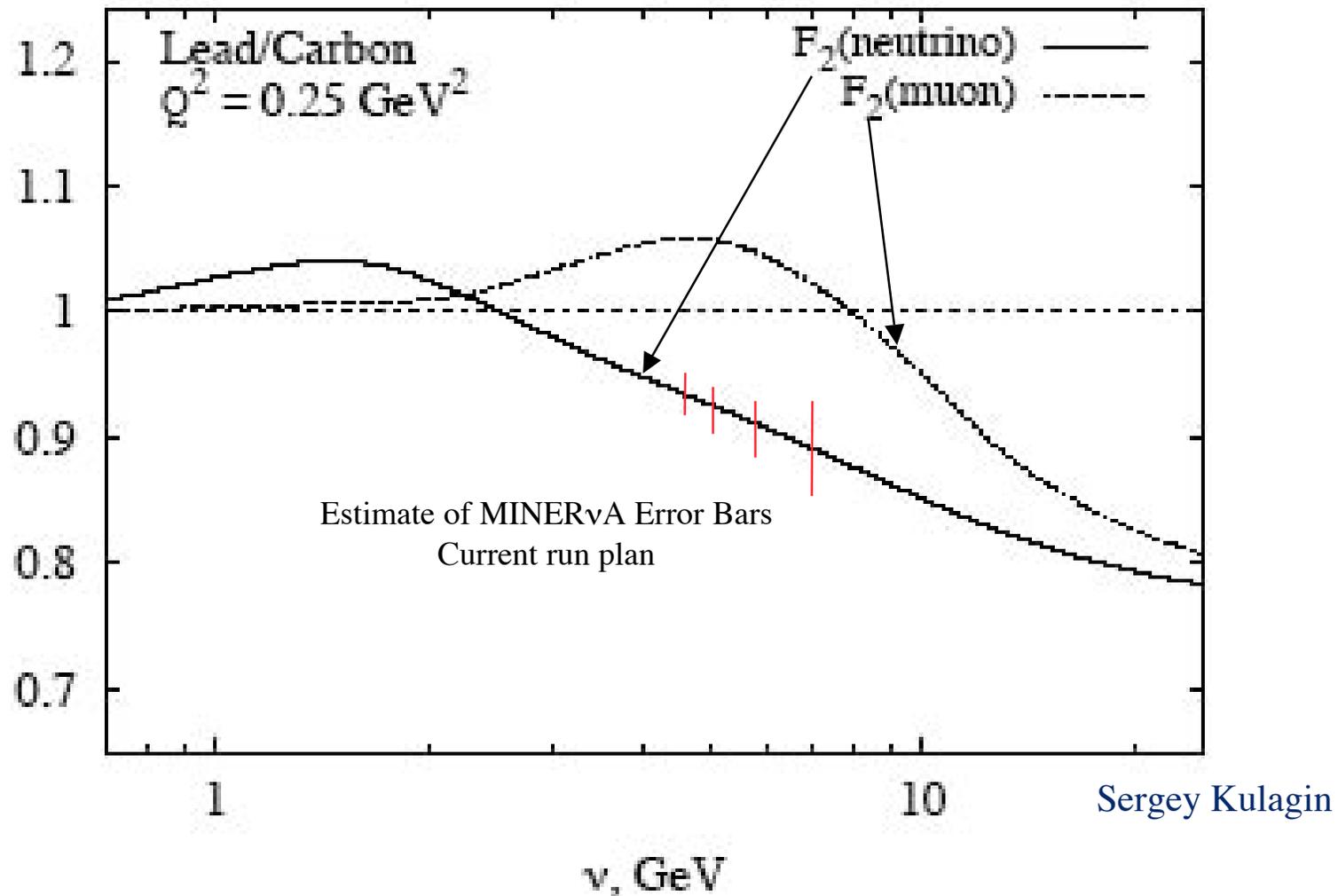
### Main CC Physics Topics (Statistics in CH)

- ◆ Quasi-elastic **0.8 M events**
- ◆ Resonance Production **1.7 M total**
- ◆ Transition: Resonance to DIS **2.1 M events**
- ◆ DIS, Structure Funcs. and high-x PDFs **4.3 M DIS events**
- ◆ Coherent Pion Production **89 K CC / 44 K NC**
- ◆ Strange and Charm Particle Production **> 240 K fully reconstructed events**
- ◆ Generalized Parton Distributions **order 10 K events**
- ◆ Nuclear Effects **He: 0.6 M, C: 0.4 M, Fe: 2.0 M and Pb: 2.5 M**



# MINERvA Measurement of Nuclear Effects

## The Axial-vector Current and Shadowing

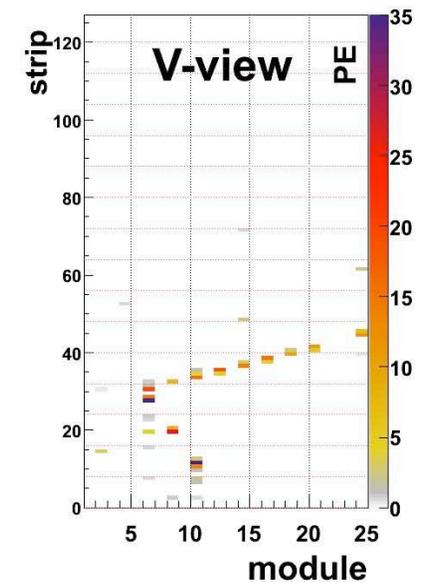
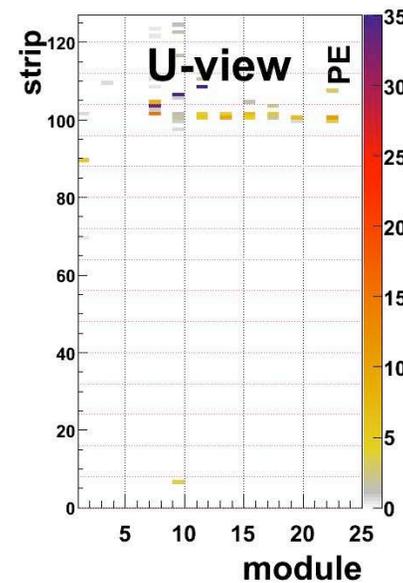
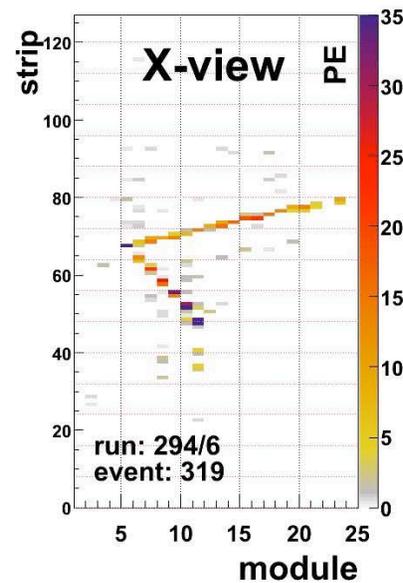




# 20% Full-sized Prototype in NuMI Beam



We are taking first neutrino event data as I speak





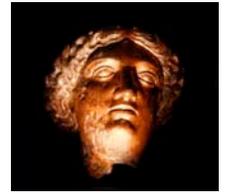
## Conclusions: $\nu$ nuclear effects



- ◆ NuTeV  $\nu$ -Fe scattering seems to see **little or no evidence for shadowing**
- ◆ **Differences** in nuclear effects between  $\nu$  and  $\bar{\nu}$ , Fe and Pb are **small in the region  $0.1 < x < 0.6$**
- ◆ The Kulagin-Petti corrections for Fe **over-correct** with respect to the reference fit when applied to the NuTeV Fe results.
- ◆ **Except at very high  $x$ , correction factors differ in both shape and magnitude from charged lepton and K-P correction factors**



## Conclusions: MINERvA



- ◆ The MINERvA experiment brings together the **expertise of the HEP and NP communities.**
- ◆ MINERvA will accumulate **significantly more events** in important **exclusive channels** across a **wider  $E_\nu$  range** than currently available as well as a **huge sample of DIS events.**
- ◆ **With He, C, Fe and Pb targets** MINERvA will enable a systematic study of **nuclear effects** in  $\nu$ -A interactions, suspected to be different than well-studied e-A effects.
- ◆ MINERvA is currently taking neutrino data with a Tracking Prototype and will **begin taking data with the full detector a year from now.**



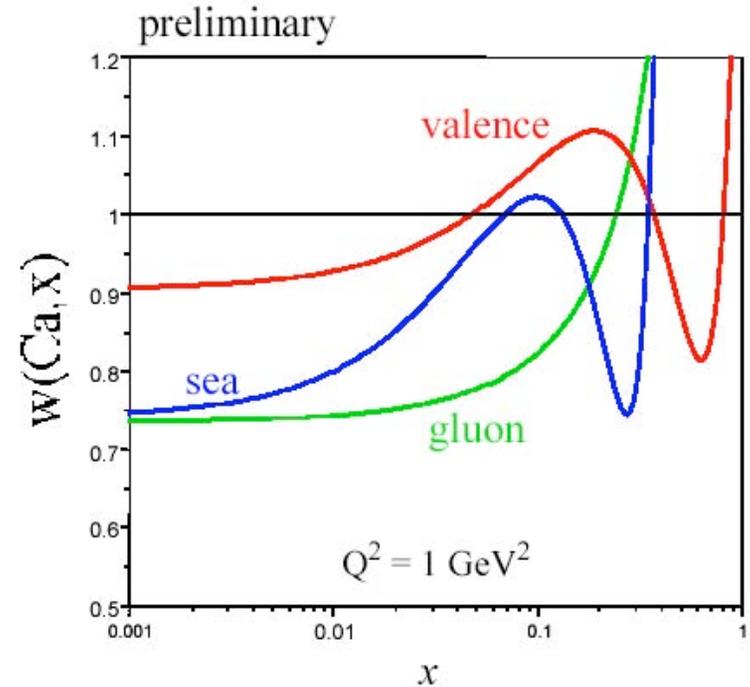
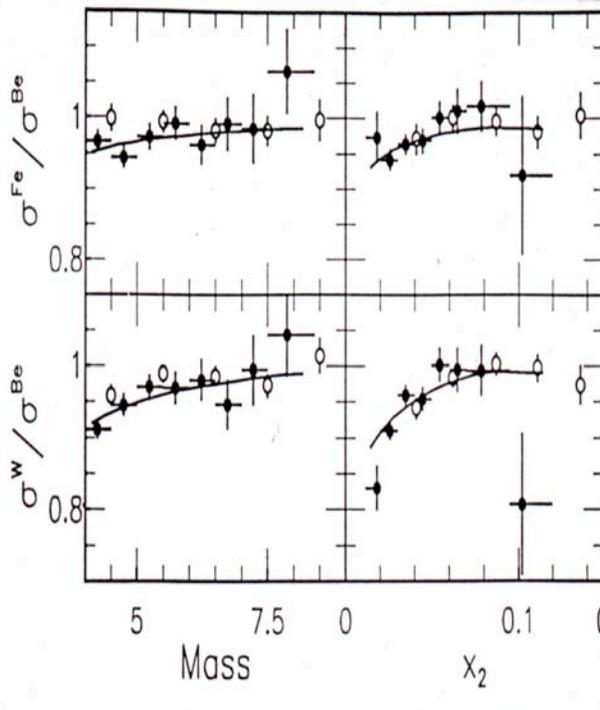
# Additional Details

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# Nuclear Effects

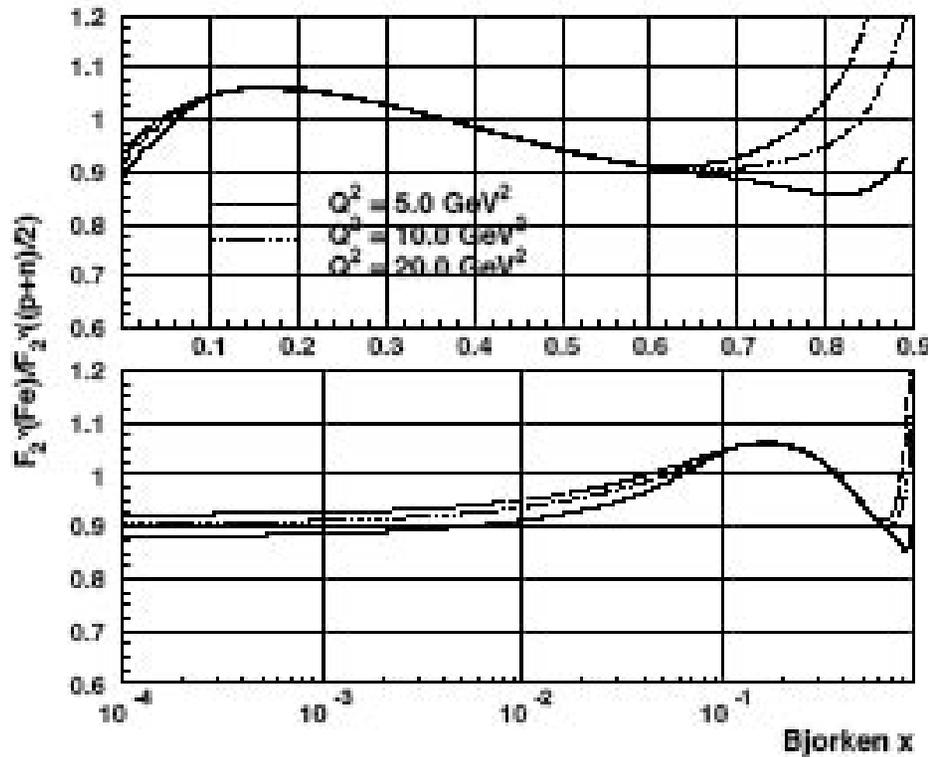
## A Difference in Nuclear Effects of Valence and Sea Quarks?



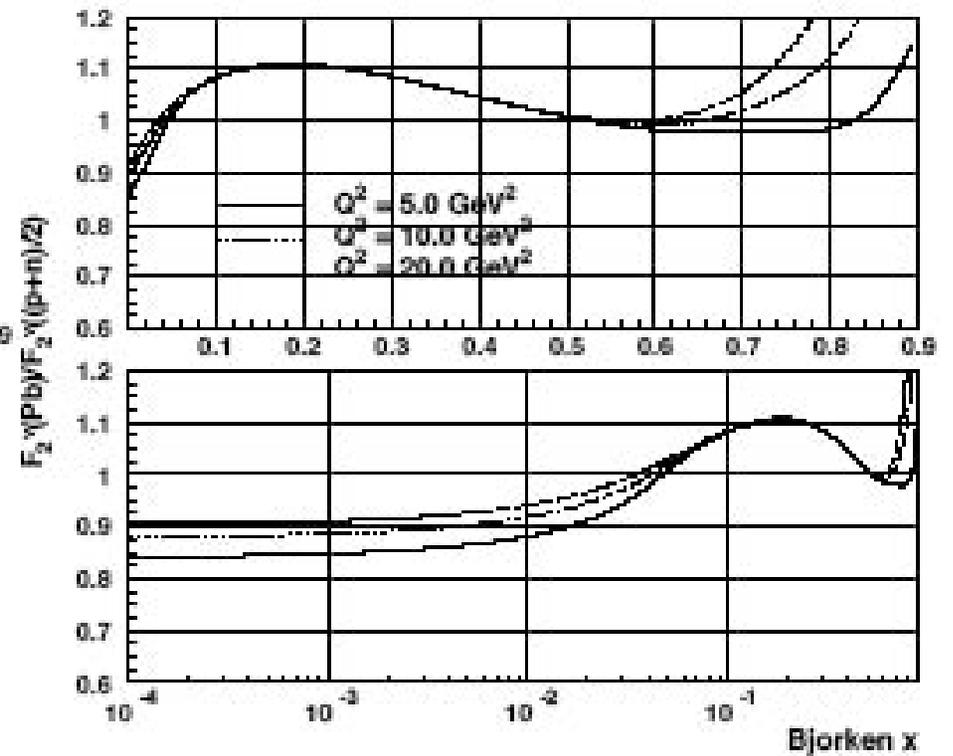
- ◆ Nuclear effects similar in Drell-Yan and DIS for  $x < 0.1$ . Then no “anti-shadowing” in D-Y while “anti-shadowing” seen in DIS (5-8% effect in NMC).
- ◆ This quantified via **Nuclear Parton Distribution Functions**: K.J. Eskola et al and S. Kumano et al. **Currently, Ingo Schienbein and Ji Young Yu + CTEQ nPDF fits**
- ◆ **Recently Sergei Kulagin and Roberto Petti provided first look at  $\nu + A$  effects**



$$F_2(\nu+A) / F_2(\nu+N)$$



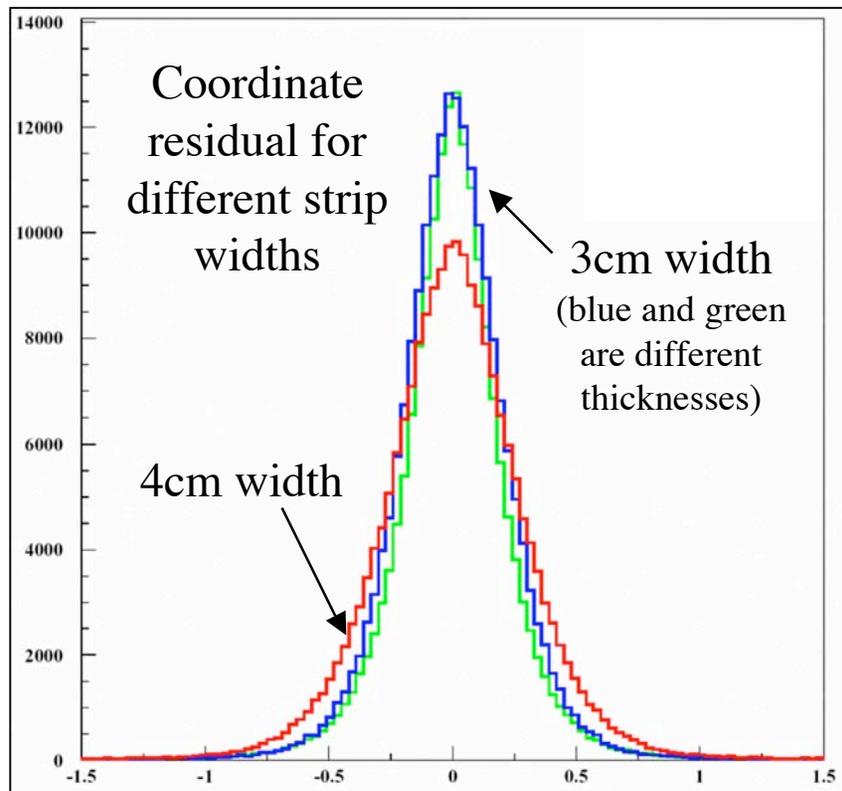
Fe



Pb

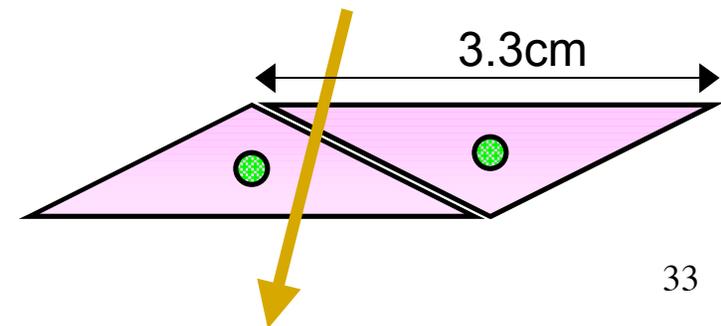


# Performance: Optimization of Tracking in Active Target



- ◆ technique pioneered by D0 upgrade pre-shower detector

- ◆ Excellent tracking resolution w/ triangular extrusion
  - ▼  $\sigma \sim 3$  mm in transverse direction from light sharing
  - ▼ More effective than rectangles (resolution/segmentation)
    - Key resolution parameters:
      - transverse segmentation and light yield
      - longitudinal segmentation for z vertex determination



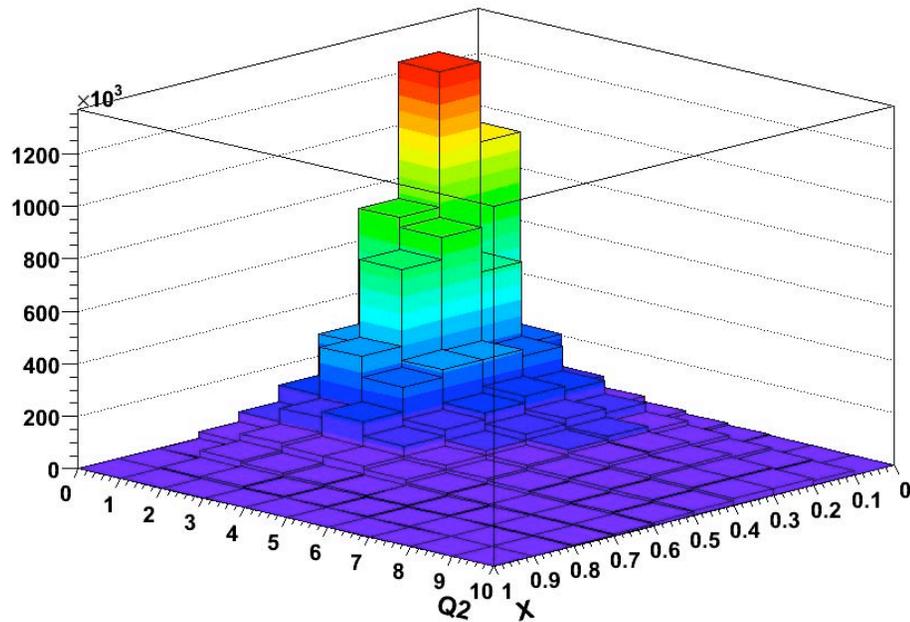


# Event Distribution in $x - Q^2$

Based on 9.0 M  $\nu$  events on CH.



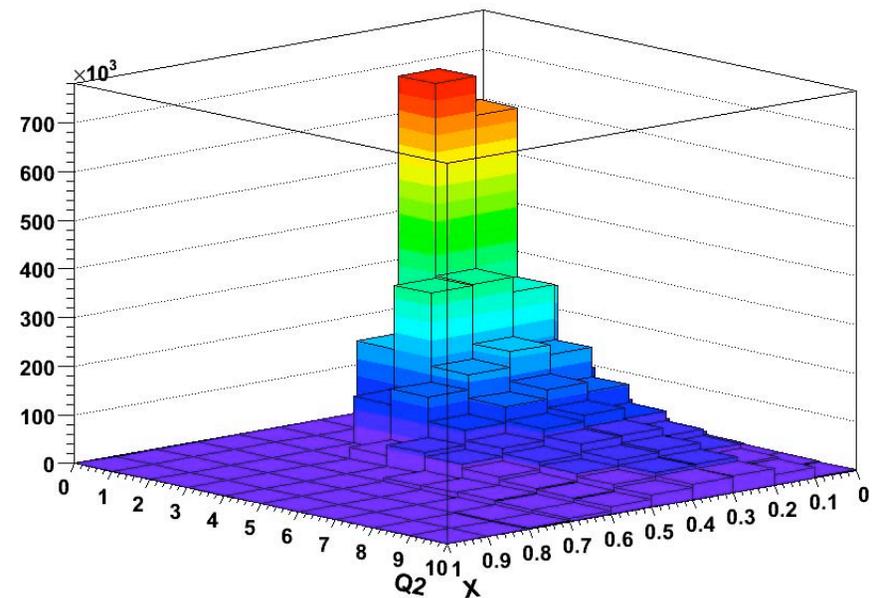
X vs. Q2 of Transition+DIS Events



Additional 5.5 M  
events on He, C,  
Fe and Pb targets

84 K events with  
 $x > 0.65$  and  
 $Q^2 > 2 \text{ GeV}^2$

X vs. Q2 of pure DIS Events





# Parton Distribution Functions: What Can We Learn With All Six Structure Functions?



**Recall Neutrinos have the ability to directly resolve flavor of the nucleon's constituents:  
 $\nu$  interacts with  $d, s, \bar{u}$ , and  $\bar{c}$  while  $\bar{\nu}$  interacts with  $u, c, \bar{d}$  and  $s$ .**

**Using Leading order expressions:**

$$F_2^{\bar{\nu}N}(x, Q^2) = x[u + \bar{u} + d + \bar{d} + 2s + 2c]$$

$$F_2^{\nu N}(x, Q^2) = x[u + \bar{u} + d + \bar{d} + 2s + 2\bar{c}]$$

$$xF_3^{\bar{\nu}N}(x, Q^2) = x[u + d - \bar{u} - \bar{d} - 2s + 2c]$$

$$xF_3^{\nu N}(x, Q^2) = x[u + d - \bar{u} - \bar{d} + 2s - 2\bar{c}]$$

**Taking combinations of the Structure functions**

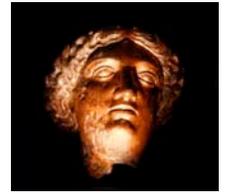
$$F_2^{\nu} - xF_3^{\nu} = 2(\bar{u} + \bar{d} + 2\bar{c})$$

$$F_2^{\bar{\nu}} - xF_3^{\bar{\nu}} = 2(\bar{u} + \bar{d} + 2\bar{s})$$

$$xF_3^{\nu} - xF_3^{\bar{\nu}} = 2[(s + \bar{s}) - (\bar{c} + c)]$$



# Physics Results: Six Structure Functions for Maximal Information on PDF's



$$\frac{d\sigma^{\nu A}}{dx dQ^2} = \frac{G_F^2}{2\pi x} \left[ \frac{1}{2} (F_2^{\nu A}(x, Q^2) + xF_3^{\nu A}(x, Q^2)) + \frac{(1-y)^2}{2} (F_2^{\nu A}(x, Q^2) - xF_3^{\nu A}(x, Q^2)) \right] + y^2 F_L$$

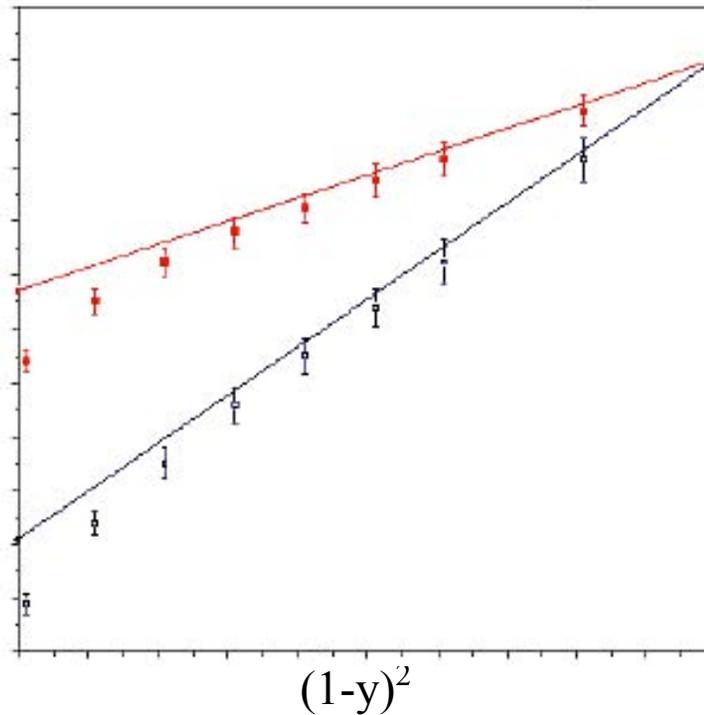
$$\frac{d\sigma^{\bar{\nu} A}}{dx dQ^2} = \frac{G_F^2}{2\pi x} \left[ \frac{1}{2} (F_2^{\bar{\nu} A}(x, Q^2) - xF_3^{\bar{\nu} A}(x, Q^2)) + \frac{(1-y)^2}{2} (F_2^{\bar{\nu} A}(x, Q^2) + xF_3^{\bar{\nu} A}(x, Q^2)) \right]$$

$$\frac{\sigma(x, Q^2, (1-y)^2)}{G^2/2\pi x}$$

$x = 0.1 - 0.125$   
 $Q^2 = 2 - 4 \text{ GeV}^2$

**Meant to give an impression  
only!**

**Kinematic cuts in (1-y) not  
shown.**



■ Neutrino  
 ■ Statistical + 5% systematic

□ Anti-Neutrino  
 □ Statistical only

$R = R_{\text{whitlow}}$



# High-x PDFs

## $\nu$ - p Scattering

$$\left. \begin{aligned} F_2^{\nu p} &= 2x (d + \bar{u} + s) \\ F_2^{\bar{\nu} p} &= 2x (\bar{d} + u + \bar{s}) \end{aligned} \right\} \xrightarrow{\text{At high } x} \boxed{\frac{F_2^{\nu p}}{F_2^{\bar{\nu} p}} = \frac{d}{u}}$$

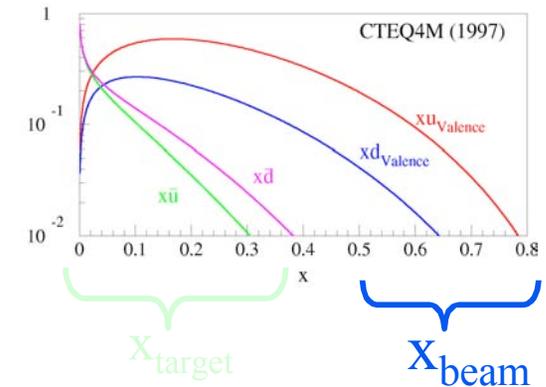
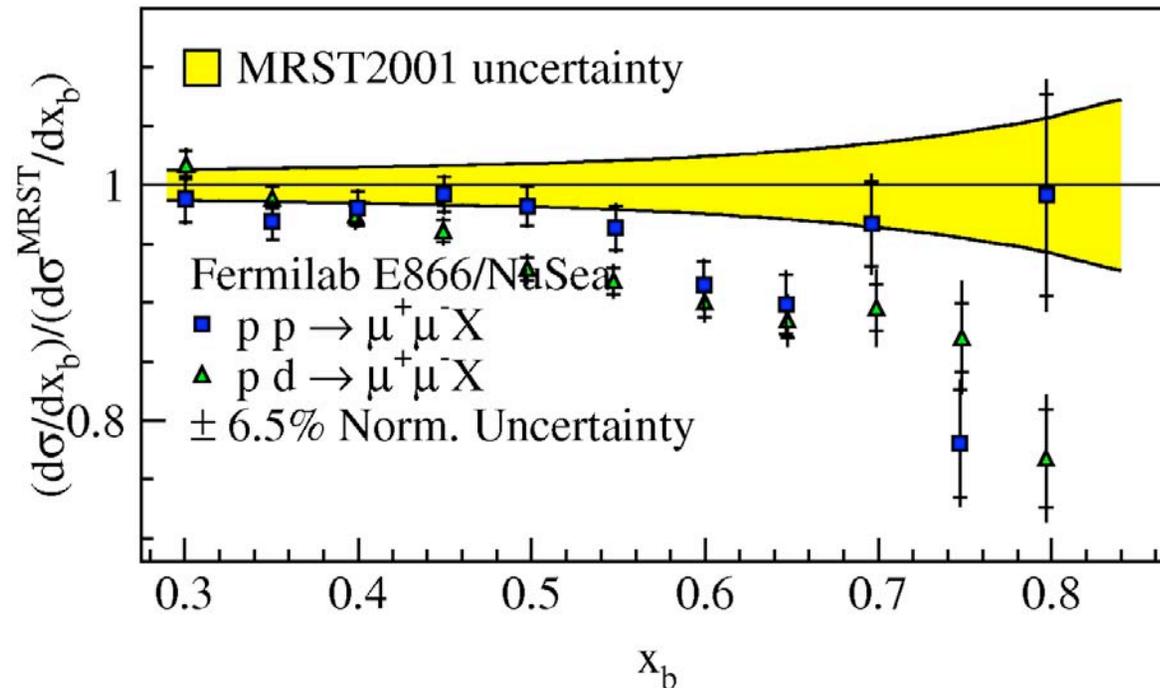
Add in...

$$\left. \begin{aligned} xF_3^{\nu p} &= 2x (d - \bar{u} + s) \\ xF_3^{\bar{\nu} p} &= 2x (-\bar{d} + u - \bar{s}) \end{aligned} \right\} \rightarrow \begin{aligned} F_2^{\nu p} - xF_3^{\nu p} &= 4x\bar{u} \\ F_2^{\bar{\nu} p} + xF_3^{\bar{\nu} p} &= 4xu \end{aligned}$$



# Further indications that the valence quarks not quite right at high- $x$ ??

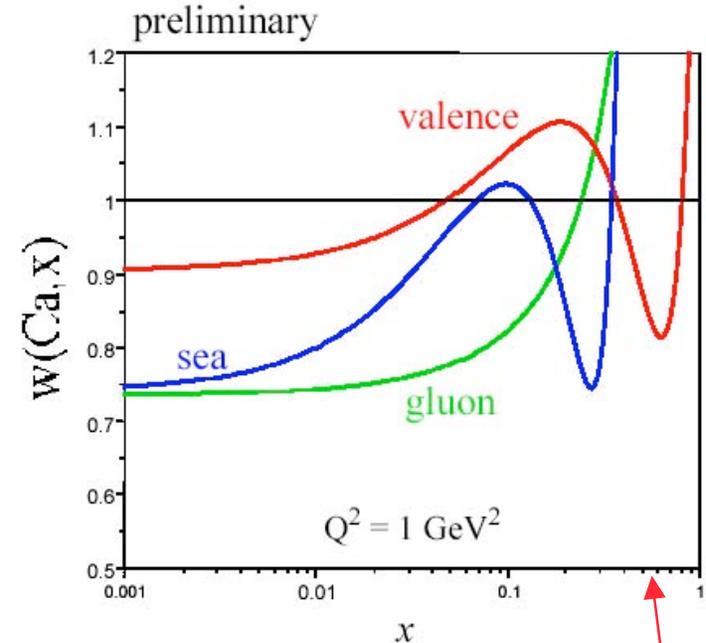
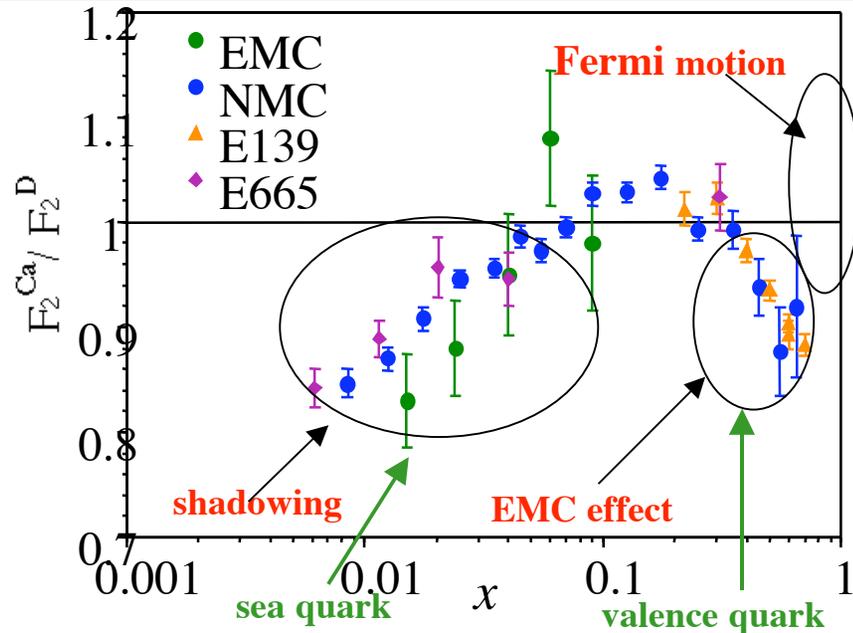
E866 -Drell-Yan Preliminary Results (R. Towell - Hix2004)



- $x_{\text{beam}}$  distribution measures  $4u + d$  as  $x \rightarrow 1$ .
- Both MRST and CTEQ overestimate valence distributions as  $x \rightarrow 1$  by 15-20%.
- Possibly related to  $d/u$  ratio as  $x \rightarrow 1$ , but requires full PDF-style fit.
- Radiative corrections have recently been calculated. (Not yet fully applied)



# Knowledge of Nuclear Effects with Neutrinos: essentially NON-EXISTENT



- ◆  $F_2$  / nucleon changes as a function of A. Measured in  $\mu/e - A$  **not in  $\nu - A$**
- ◆ **Good reason to consider nuclear effects are DIFFERENT in  $\nu - A$ .**
  - ▼ Presence of axial-vector current.
  - ▼ SPECULATION: Much stronger shadowing for  $\nu - A$  but somewhat weaker “EMC” effect.
  - ▼ Different nuclear effects for valence and sea --> different shadowing for  $xF_3$  compared to  $F_2$ .
  - ▼ Different nuclear effects for d and u quarks.